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Honeywell's Docket No. H0005631 US -4780  
Practitioner's Docket No. H9930-0105 (7035812001-3221000)

## CHAPTER II

## IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/US03/34347	27 October 2003 (27.10.03)	17 July 2003 (17.07.03)
International Application No.	International Filing Date	Priority Date Claimed

PLANARIZATION FILMS FOR ADVANCED MICROELECTRONIC APPLICATIONS AND  
DEVICES AND METHODS OF PRODUCTION THEREOF

## Title of Invention

Honeywell International Inc.; Wei Huang; Joseph Kennedy; and Ronald Katsanes

## Applicant(s)

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450  
ATTENTION: EO/US

COMPLETION OF FILING REQUIREMENTS  
FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE  
IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495  
(FORM PCT/DO/EO/905).

## EXPRESS MAILING UNDER 37 C.F.R. § 1.10\*

(Express Mail label number is *mandatory*.)

(Express Mail certification is *optional*.)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date  
**6 October 2005** in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. EV647123370US.

Date: 6 October 2005

EV647123370US

  
Kristin J. Azcona

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

**DECLARATION OR OATH**

- I. No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**EXTENSION OF TIME**

- II. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant petitions for an extension of one month, the other than small entity fee for which is \$120.00 as set out in 37 C.F.R. § 1.17(a)(2). If an additional extension of time is required, please consider this a petition therefor.

Extension fee due with this request \$120.00

**TOTAL FEE DUE**

- IV. The total fee due is:

Completion fee(s)	\$0.00
Extension fee (if any)	\$120.00

**TOTAL FEE DUE \$120.00**

**PAYMENT OF FEES**

- V.

Authorization is hereby made to charge the amount of \$120.00 to Deposit Account No. 500977.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this request is attached.

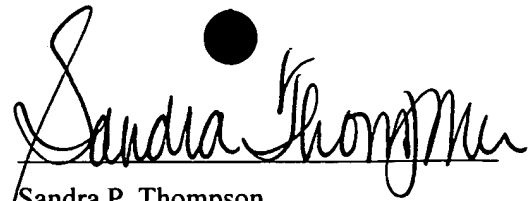
**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

- VI. Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:

- \* 37 C.F.R. § 1.492(a)(1), 1.492(a)(4) (filing fees)
- \* 37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)
- \* 37 C.F.R. § 1.17 (application processing fees)
- \* 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to section 1.136(a))

10/13/2005 ATRANI 00000105 500977 40518201  
01 FC:1251 120.00 DA

Date: 6 October 2005



Sandra P. Thompson  
Buchalter Nemer, A Professional Corporation  
18400 Von Karman, Suite 800  
Irvine, CA 92612

Adjustment date: 01/20/2006 BCAMPBEL  
10/13/2005 ATRAM1 00000105 500977 10518201  
01 FC:1251 120.00 CR



## UNITED STATES PATENT AND TRADEMARK OFFICE

**Rec'd PCT/PTO** 06 OCT 2005

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/518,201	FIRST NAMED APPLICANT <b>RECEIVED</b> JUL 11 2005 Bingham McCutchen IP Docket Dept	ATTY. DOCKET NO. 7035812001-3221000
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 23639 SPT  
 BINGHAM, MCCUTCHEN LLP  
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 SAN FRANCISCO, CA 94111-4067

INTERNATIONAL APPLICATION NO. PCT/US03/34347	
LA. FILING DATE 10/27/2003	PRIORITY DATE 07/17/2003

 CONFIRMATION NO. 5242  
 371 FORMALITIES LETTER


\*OC000000016444793\*

Date Mailed: 07/07/2005

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/16/2004
- Copy of the International Search Report filed on 12/16/2004
- Request for Immediate Examination filed on 12/16/2004
- U.S. Basic National Fees filed on 12/16/2004
- Specification filed on 12/16/2004
- Claims filed on 12/16/2004
- Abstracts filed on 12/16/2004
- Drawings filed on 12/16/2004

**RECEIVED**

JUL 12 2005

 BINGHAM MCCUTCHEN  
 By: *jm to KA/SPT*

 The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

 A copy of this notice **MUST** be returned with the response.

 Docket: 703581-2001(TA)  
 Action: MISSING REQ DUE  
 Date Due: 9/7/05

217101n-F

Rec PCT/PTO

06 OCT 2005

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/518,201	PCT/US03/34347	7035812001-3221000

FORM PCT/DO/EO/905 (371 Formalities Notice)

Please acknowledge receipt of the following by affixing hereon the Official date stamp and returning this card to our office.

### Response to Missing Requirements

Title: Planarization Films for Advanced Microelectronic...  
Inventor: Huang, Wei, et al.  
Filing Date: 16 December 2004  
Serial Number: 10/518,201  
Matter Type: Patent - US  
Date of Deposit: October 6, 2005  
Matter #: H9930-0105 (7035812001-3221000)  
Enclosures: (1) Response to Missing Requirements (3 pages); (2) Copy of Notice (2 pages); (3) Declaration/Oath (5 pages); and (4) postcard.

DATE MAILED: 10/6/05 SBU: 4780  
PATENT APPLICATION FOR: Planarization Films for Advanced Microelectronic...  
INVENTOR(S): Kennedy et al.  
SERIAL NO.: 10/518201

### THE FOLLOWING HAS BEEN RECEIVED IN THE U.S. PATENT AND TRADEMARK OFFICE ON THE DATE STAMPED HEREON:

- ☒ Missing Parts of Application Transmittal \$ \_\_\_\_\_  
☒ Combined Declaration/Power of Attorney \$ \_\_\_\_\_  
☐ Power of Attorney  
☐ Assignment and Cover Sheet: \$ \_\_\_\_\_  
☐ Information Disclosure Statement ☐ ISR ☐ From PTO 1449 with \_\_\_\_\_ References \$ \_\_\_\_\_  
☐ Request for Corrected Filing Receipt  
☐ Amendment/Response (\_\_\_\_\_ page(s)) \$ \_\_\_\_\_  
☐ Petition for Extension of Time (\_\_\_\_\_ months) \$ \_\_\_\_\_  
☐ Amendment After Final Rejection (\_\_\_\_\_ page(s)) \$ \_\_\_\_\_  
☐ Notice of Appeal  
☐ Appeal Brief (\_\_\_\_\_ page(s)) \$ \_\_\_\_\_  
☐ Issue Fee Transmittal \$ \_\_\_\_\_  
☐ Formal Drawing(s); No. of Sheets \_\_\_\_\_  
☐ Total fees charged to Deposit Account No. 01-1125: \$ \_\_\_\_\_

OTHER \_\_\_\_\_ \$ \_\_\_\_\_  
Attorney Docket No.: H0005631 US Attorney: S. Jacobson  
Outside Counsel: Buchalter